

# **Can All Thalassemia Patients Be Cured with HSCT ?**

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# Treatments for Severe Thalassemia

## Palliative treatment

Blood transfusion

Iron chelation

Splenectomy

## Curative treatment

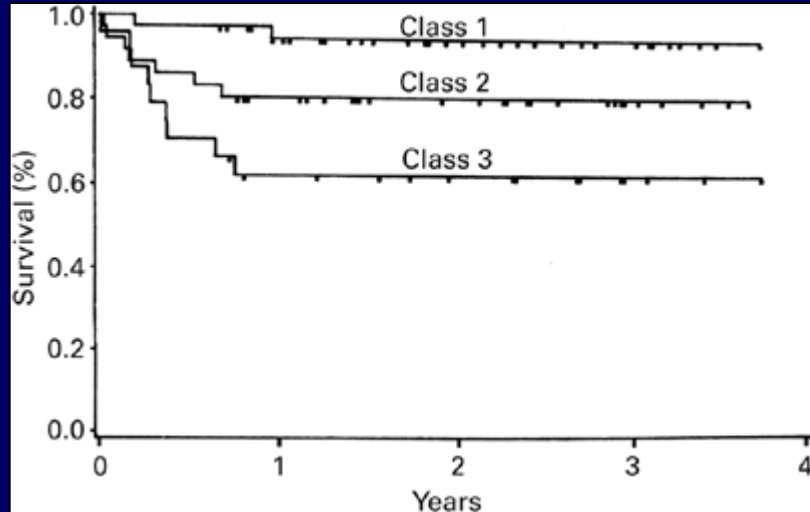
Hematopoietic stem cell transplant (HSCT)

Allogeneic HSC

Gene therapy

Autologous HSC

# BMT in Thalassemia and Lucarelli Classification



## Risk factors for BMT in thalassemia

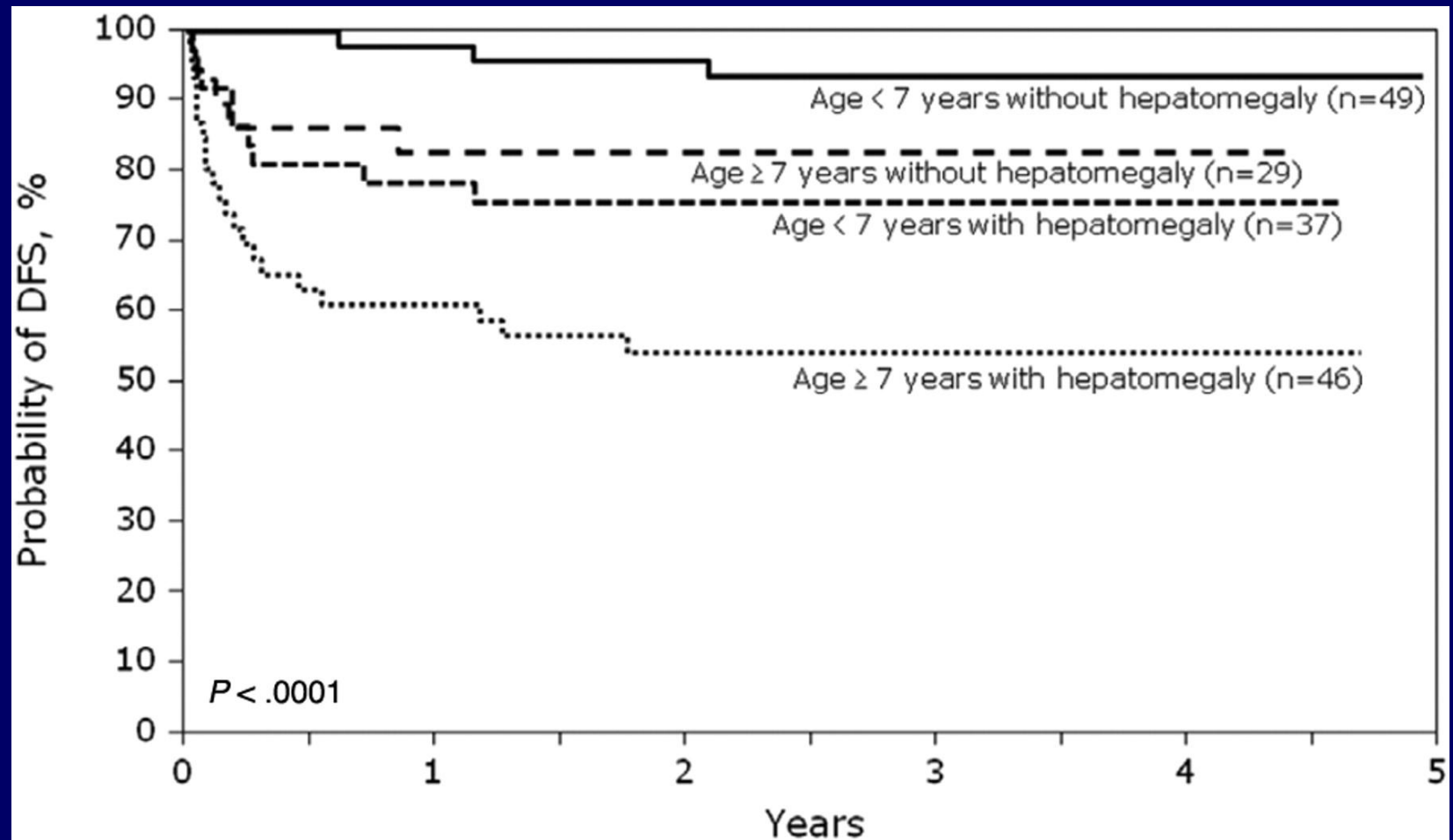
|                |                      |
|----------------|----------------------|
| Chelation      | Regular vs Irregular |
| Hepatomegaly   | Absent vs Present    |
| Liver fibrosis | Absent vs Present    |

## Risk classes for BMT in thalassemia

|        | Chelation | Hepatomegaly | Fibrosis |
|--------|-----------|--------------|----------|
| Class1 | Regular   | NO           | NO       |
| Class2 | Reg/Irreg | NO/YES       | NO/YES   |
| Class3 | Irregular | YES          | YES      |

## HLA-matched sibling bone marrow transplantation for $\beta$ -thalassemia major

Mitchell Sabloff,<sup>1</sup> Mammen Chandy,<sup>2</sup> Zhiwei Wang,<sup>3</sup> Brent R. Logan,<sup>3</sup> Ardeshir Ghavamzadeh,<sup>4</sup> Chi-Kong Li,<sup>5</sup>  
Syed Mohammad Irfan,<sup>6</sup> Christopher N. Bredeson,<sup>7</sup> Morton J. Cowan,<sup>8</sup> Robert Peter Gale,<sup>9</sup> Gregory A. Hale,<sup>10</sup> John Horan,<sup>11</sup>  
Suradej Hongeng,<sup>12</sup> Mary Eapen,<sup>3</sup> and Mark C. Walters<sup>13</sup>



Sabloff et al. ICBMTR, Blood 2011

## Results of MRD in HSCT for Thal Patients

| Reference            | Patients | OS | TFS |
|----------------------|----------|----|-----|
| Di Bartolomeo et al. | 111      | 90 | 86  |
| Argiolu et al.       | 37       | 88 | 88  |
| Clift et al.         | 68       | 94 | 81  |
| Lawson et al.        | 54       | 95 | 82  |
| Ghavamzadehv et al.  | 60       | 83 | 73  |
| Denninson et al.     | 50       | 76 | 68  |
| Lin et al.           | 28       | 86 | 82  |
| Lee et al.           | 44       | 86 | 82  |
| Issaragrisil et al.  | 21       | 70 | 53  |
|                      |          |    |     |

## **Hematopoietic stem cell transplantation in thalassemia major and sickle cell disease: indications and management recommendations from an international expert panel**

Emanuele Angelucci,<sup>1</sup> Susanne Matthes-Martin,<sup>2</sup> Donatella Baronciani,<sup>3</sup> Françoise Bernaudin,<sup>4</sup> Sonia Bonanomi,<sup>5</sup> Maria Domenica Cappellini,<sup>6</sup> Jean-Hugues Dalle,<sup>7</sup> Paolo Di Bartolomeo,<sup>8</sup> Cristina Díaz de Heredia,<sup>9</sup> Roswitha Dickerhoff,<sup>10</sup> Claudio Giardini,<sup>11</sup> Eliane Gluckman,<sup>12</sup> Ayad Achmed Hussein,<sup>13</sup> Naynesh Kamani,<sup>14</sup> Milen Minkov,<sup>2</sup> Franco Locatelli,<sup>15</sup> Vanderson Rocha,<sup>16</sup> Petr Sedlacek,<sup>17</sup> Frans Smiers,<sup>18</sup> Isabelle Thuret,<sup>19</sup> Isaac Yaniv,<sup>20</sup> Marina Cavazzana,<sup>21,22,23,24</sup> and Christina Peters,<sup>2,25</sup> on behalf of the EBMT Inborn Error and EBMT Paediatric Working Parties

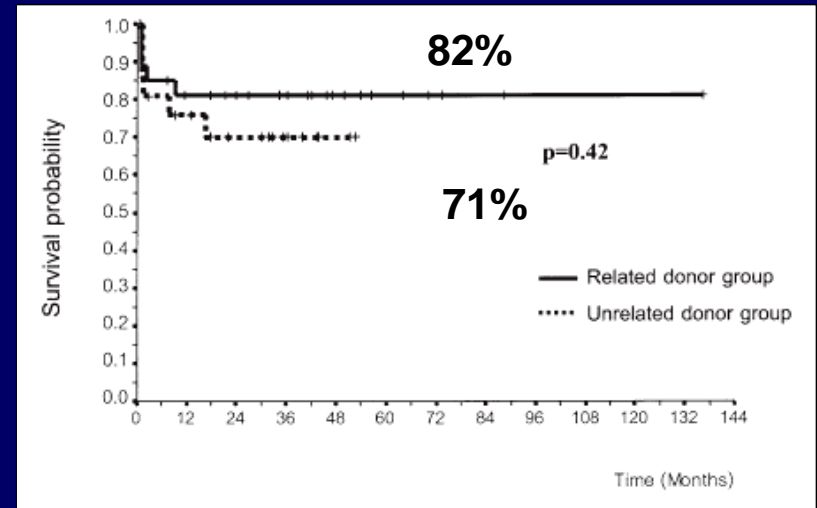
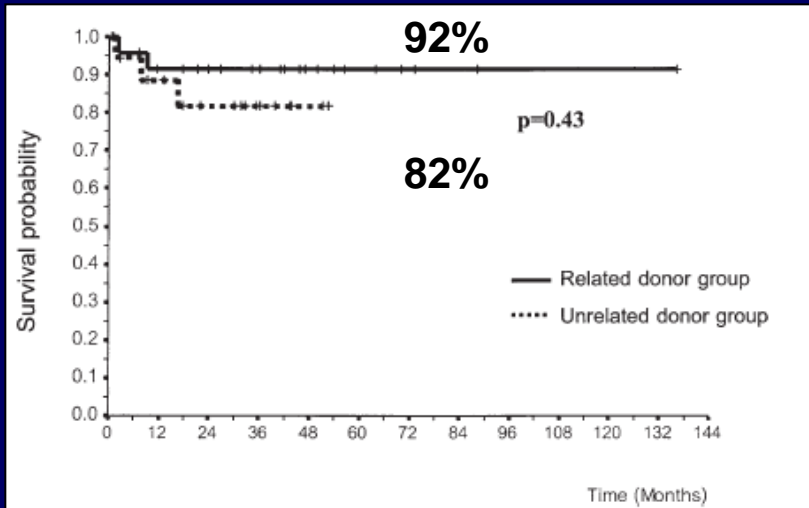
**Table 2. Recent reports on matched sibling donor HSCT in children and adults with thalassaemia major.**

| Author and Reference                      | N. of patients | Patient cohort/<br>Pesaro risk category                                     | Overall survival                                     | Thalassaemia free survival                          | Treatment related mortality                | Comments   |
|---|----------------|---|--|---|--|--|
| Galambun <i>et al.</i> <sup>12</sup>      | 108            | Children all categories of risk   | 15 years<br>86.8%                                    | 15 years<br>69.4%                                   | 15 years<br>12%                            | 96 sibling donor<br>Regimen: Bu-Cy ±ATG  |
| Yesilipek <i>et al.</i> <sup>13</sup>     | 245            | Children:<br>Low: 41<br>Intermediate: 130<br>High: 63                       | 1 year<br>85%  | 1 year<br>68%                                       | 1 year<br>7.75%                            | 88 BM, 137 PB, 20 CB<br>Regimen: Bu-Cy   |
| Li <i>et al.</i> <sup>14</sup>            | 82             | Children all risk categories  | 3 years<br>91%                                       | 3 years<br>87%                                      | 3 years<br>8%                              | 52 MUD, 30 sibling<br>Regimen Bu-Cy-Thiotepa, Fludarabine.   |
| Choudhary <i>et al.</i> <sup>15</sup>     | 28             | Children:<br>Intermediate risk: 7<br>High risk: 21                          | 78.5%  | 71.4%   | 21.4%                                      | Regimen: Treosulfan-Thiotepa-Fludarabine.  |
| Bernardo <i>et al.</i> <sup>16</sup>      | 60             | Low: 27<br>Intermediate: 17, High: 4<br>Adults: 12                          | 5 years<br>93%                                       | 5 years<br>84%                                      | 7%   | 20 sibling donor, 40 MUD.<br>Regimen Treosulfan - Thiotepa - Fludarabine                                     |
| Sabloff <i>et al.</i> <sup>17</sup>       | 179            | Low: 2%<br>Intermediate: 42%<br>High: 36%                                   | 5 years:<br>Intermediate risk: 91%<br>High risk: 64% | 5 years<br>Intermediate risk: 88%<br>High risk: 62% | Intermediate risk: 5/75<br>High risk 23/64 | Bu-Cy + ATG in 77,<br>Bu-Cy in 102   |
| Ghavamzadeh <i>et al.</i> <sup>18</sup>   | 183            | Children<br>Low and intermediate  | 2 years<br>PBSCs 83%<br>BM 89%                       | 2 years<br>PBSCs 76%<br>BM 76%                      | 1 year<br>PBSC 14%<br>BM: 9%               | 87 PBSC , 96 BM<br>Regimen: Bu-Cy  |
| Iravani <i>et al.</i> <sup>19</sup>       | 52             | Children high risk: 52  | 4.1 years<br>80%                                     | 4.1 years<br>65%                                    | 4.1 years<br>7/52                          | 32 BM, 20 PBSC<br>Regimen: Bu-Cy   |
| Irfan <i>et al.</i> <sup>20</sup>         | 56             | Children<br>Low: 20<br>Intermediate : 20<br>High: 16                        | 5 years<br>BM: 73%<br>PBSCs: 65%                     | 5 years<br>BM: 67%<br>PBSCs: 55%                    | 100 days:<br>10/56                         | 29 BM, 27 PBSCs<br>Lower risks: Bu-Cy<br>High risk: Hydroxyurea-Azathioprine-Fludarabine-Bu-Cy               |
| Locatelli <i>et al.</i> <sup>21</sup>     | 259            | Median age 8 years (range 1-24)<br>Low: 86<br>Intermediate: 122<br>High: 51 | 6 years<br>95%                                       | 6 years<br>86%                                      | 4%   | Multicentric retrospective registry study.<br>Regimens: Bu-Cy, Bu-Cy-Fludarabine, Bu-Cy-Thiotepa ±ATG.       |
| Ullah <i>et al.</i> <sup>22</sup>         | 48             | Low: 31<br>Intermediate: 11<br>High: 6                                      | 6 years<br>79%                                       | 6 years<br>75%                                      | 20.8%                                      | Regimen: Bu-Cy   |
| Di Bartolomeo <i>et al.</i> <sup>23</sup> | 115            | All categories  | 20 years<br>89.2%                                    | 20 years<br>85.7%                                   | 1 year<br>8.7%                             | 20 years Pescara experience.<br>Regimen: Bu-Cy   |
| Gaziev <i>et al.</i> <sup>24</sup>        | 107            | High risk   | 12 years<br>66%                                      | 12 years<br>62%                                     | 37%  | Regimen: Bu-Cy or Hydroxyurea-Azathioprine-Fludarabine-Bu-Cy   |
| Lawson <i>et al.</i> <sup>25</sup>        | 55             | Low: 17<br>Intermediate: 27<br>High: 11                                     | 8 years<br>94.5%                                     | 8 years<br>81.8%                                    | 5.4%                                       | Regimen: Bu-Cy±Campath or Fludarabine  |
| Gaziev <i>et al.</i> <sup>26</sup>        | 68             | 6 low risk<br>23 intermediate risk<br>39 high risk                          | 3 years<br>91%                                       | 3 years<br>87%                                      | 100 days<br>3%                             | Intravenous Busulfan based regimen   |
| Chiesa <i>et al.</i> <sup>27</sup>        | 53             | high risk children  | 2 years<br>96%                                       | 2 years<br>88%                                      | 2 years<br>4%                              | Intravenous Busulfan dose-adjustment policy.   |
| Hussein <i>et al.</i> <sup>28</sup>       | 44             | Low risk: 7<br>Intermediate risk: 24<br>High risk: 13                       | 5 years<br>97.8%                                     | 5 years<br>86.4%                                    | 1 patient died                             | High risk patients received reduced intensity conditioning and had higher thalassaemia recurrence rate (23%) |
| Mathews <i>et al.</i> <sup>29</sup>       | 50             | High risk   | 3 years<br>86.6%                                     | 3 years<br>77.8%                                    | 13%  | Treosulfan based conditioning  |

OS: overall survival; TFS: thalassaemia free survival; TRM: transplant related survival; NR: not reported; Bu: busulfan; Cy: cyclophosphamide; ATG: antithymocyte globulin; BM: bone marrow; PBSC: peripheral blood stem cells; CB: cord blood.

# HSCT in Thalassemia at Ramathibodi

Related n=28, Unrelated n=21 Total 49 patients



Overall survival (OS) and thalassemia free survival (TFS) in Thai children

Hongeng S et al. Biol Blood Marrow Transplant 2006

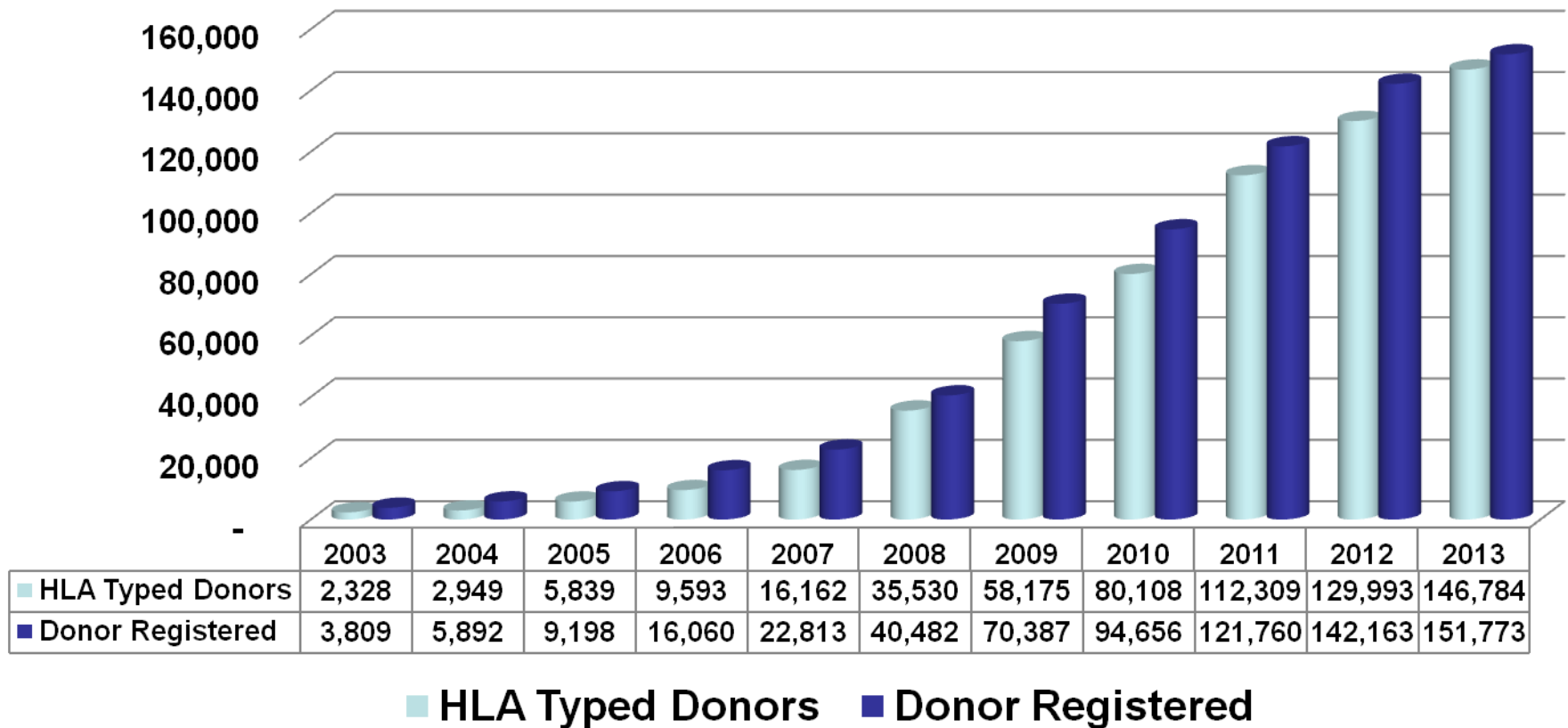


# Dilemma of HSCT in Thal

**Donor availability**

**Older patients**

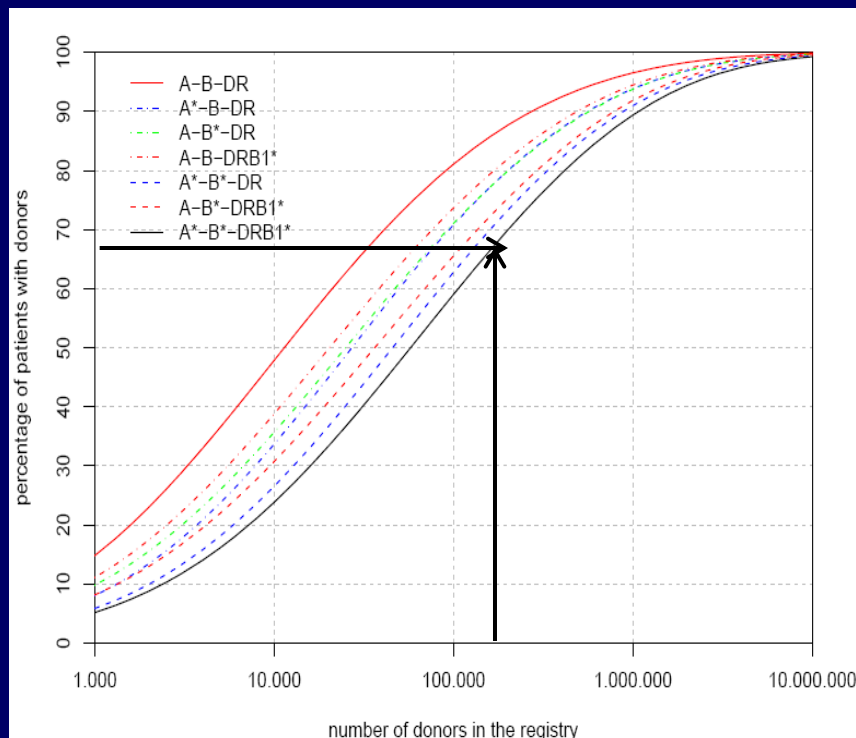
# Stem Cell Donor in Registry ( November 2013)





# Propability in Finding HLA-A,-B,-DR Matched Donor

Percentage of patients with donors



Number of Donors in Registry

# **New Stratification for High Risk Class 3 Patients**

## **Definition**

**Older patients**

**Age  $\geq 10$  yrs**

**Hepatomegaly (liver  $> 3$  cm below right  
costal margin)**

# **High Risk Class 3 Patients (Age $\geq$ 10 yrs) Pretransplant Management Program**

**Hypertransfusion in order to decrease erythroid expansion especially to decrease spleen size**

**Regular iron chelation for at least 6-12 months**

**Hydroxyurea (Hb F enhancer) in order to decrease erythroid expansion: 20 mg/kg/day for at least 6-12 months**

**Sodani P et al. Blood 2004**

**Hongeng S et al. Am J Hematol 2007**

# Previous RTC Regimen (Early 8 Patients)

Busulfan oral (8-12 mg/kg)

Fludarabine (210 mg/m<sup>2</sup>)

ATG (Fresenius 20 mg/kg)

± TLI 500 cGy

± Thiotepa 10 mg/kg

± Melphalan 100 mg/m<sup>2</sup>

GVHD prophylaxis

CSA or FK506 and MMF

# Reduced intensity stem cell transplantation for treatment of Class 3 Lucarelli severe thalassemia patients

Suradej Hongeng,<sup>1\*</sup> Samart Pakakasama,<sup>1</sup> Ampaiwan Chuansumrit,<sup>1</sup> Nongnuch Sirachainan,<sup>1</sup>  
Thanyachai Sura,<sup>2</sup> Artit Ungkanont,<sup>2</sup> Suporn Chuncharunee,<sup>2</sup> Saengsuee Jootar,<sup>2</sup> and Surapol Issaragisil<sup>3</sup>

**Am J Hematol, 2007**

**TABLE III. Characteristics of Previously Published Studies**

| References     | Number of patients | Conditioning regimen        | Stem cell source | Number of patients with stable engraftment | Number of deaths |
|----------------|--------------------|-----------------------------|------------------|--|------------------|
| 2              | 7                  | Flu, TBI, ± ALG             | BM               | None                                       | None             |
| 3              | 4                  | Flu, ALG, TBI               | BM               | 1  | None             |
| 4              | 4                  | Bu, Flu, ALG                | PBSC             | 2  | 2                |
| 5              | 5                  | Bu, Flu, ALG, TLI           | PBSC             | 5  | None             |
| Current Series | 8                  | Bu, Flu, ALG, ± Thio, ± TLI | PBSC             | 6  | None             |

Flu, fludarabine; TBI, total body irradiation; ALG, antilymphocyte globulin; TLI, total lymphoid irradiation; Thio, thiotepa; BM, bone marrow; PBSC, peripheral blood stem cell.

**2 Iannone R, et al. BBMT 2003**

**3 Horan JT, et al. BMT 2005**

**4 Jacobsohn DA, et al. Lancet 2004**

**5 Krishnamurti L, et al. BMT 2006**



# **Outcomes of Thalassemia Patients Undergoing Hematopoietic Stem Cell Transplant by Using a Standard Myeloablative (MAC) Versus a Novel Reduced Toxicity (RTC) Conditioning Regimen According to a New Risk Stratification**

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Ramathibodi Hospital, Mahidol University  
Bangkok, Thailand**



# **Novel Approach for High Risk Class 3 Patients**

**Pre transplant management**

**Hypertransfusion, chelation and hydroxyurea**

**Pretransplant immunosuppression (PTIS)**

**Flu + Dex (2 cycles)**

**Conditioning regimen**

**Bu + Flu + ATG**

# **Pre-transplant Immunosuppression (PTIS)**

**Fludarabine 40 mg/m<sup>2</sup> x 5 days**

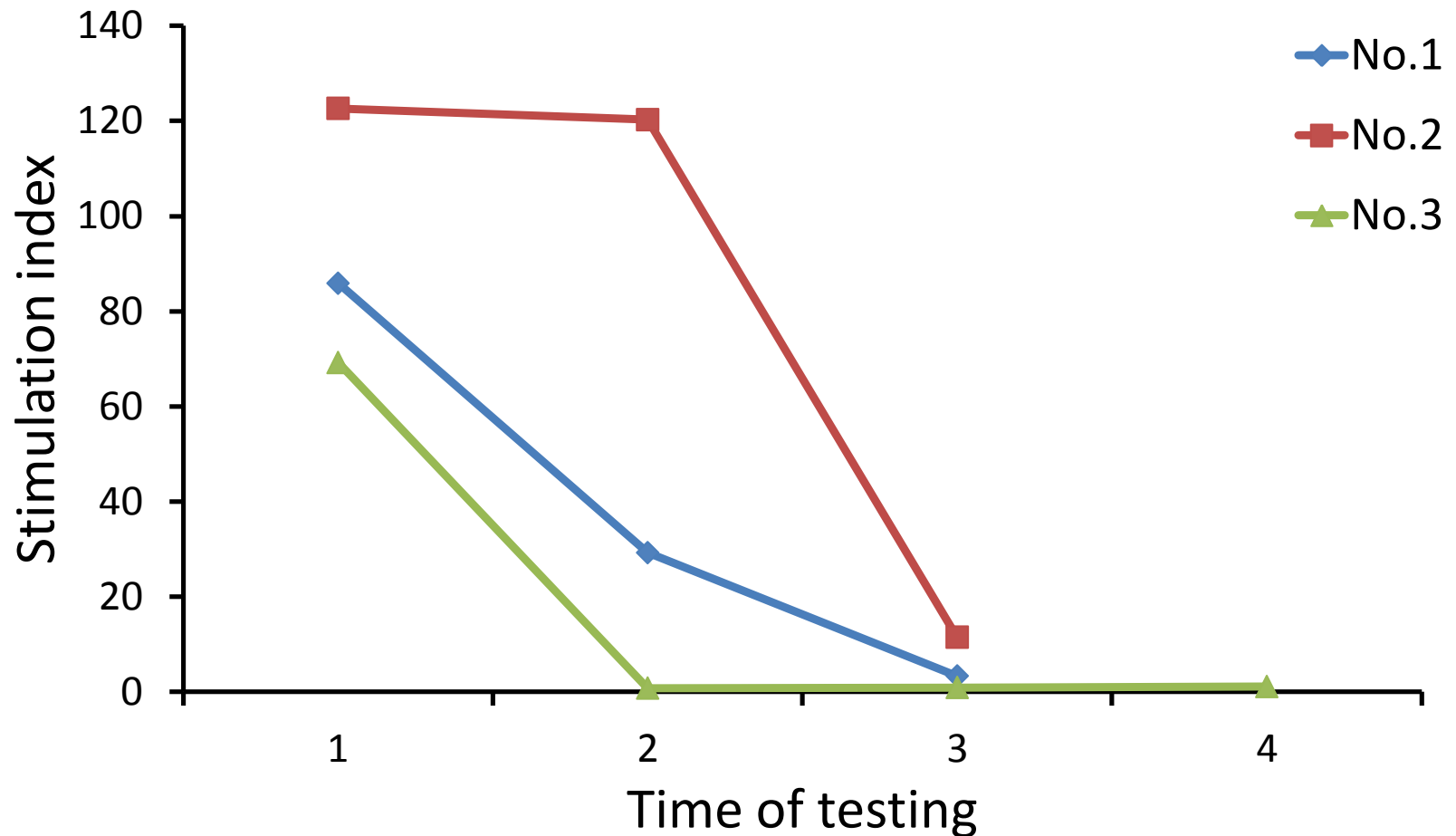
**Dexamethasone 25 mg/m<sup>2</sup> x 5 days**

**1-2 cycles; 28-day cycle**

**PTIS is given prior to conditioning regimen**

# Decreased CD4 cell proliferation

## CD4 T cells proliferation



# Conditioning Regimen for High Risk Class 3 Patients

Past

Combination of cyclophosphamide & busulfan

Too much alkylating agent regimen

Too toxic

# **Novel RTC Regimen and GVHD Prophylaxis**

**Fludarabine 35 mg/m<sup>2</sup>; d-9,-8,-7,-6,-5,-4**

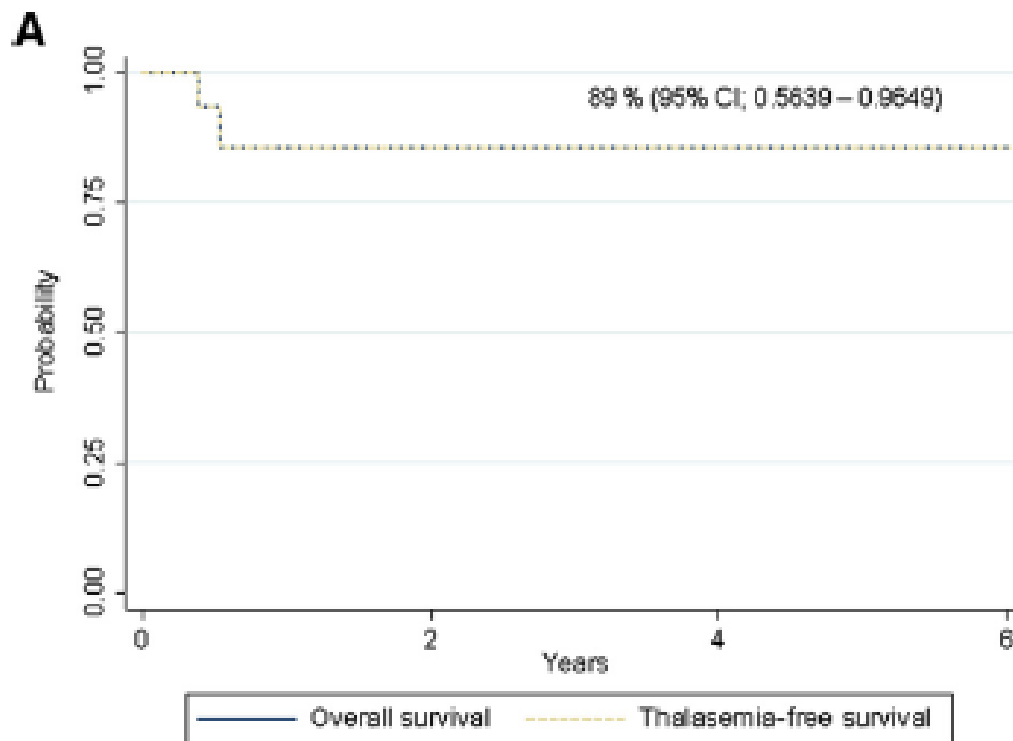
**Busulfex 130 mg/m<sup>2</sup>; d-9,-8,-7,-6**

**ATG (Thymoglobulin) 1.5 mg/kg; d-3,-2,-1**

**CSA or FK506 and MMF (60 days)**

# Pretransplant Immunosuppression followed by Reduced-Toxicity Conditioning and Stem Cell Transplantation in High-Risk Thalassemia: A Safe Approach to Disease Control

Usanarat Anurathapan<sup>1</sup>, Samart Pakakasama<sup>1</sup>, Piya Rujkijyanont<sup>2</sup>, Nongnuch Sirachainan<sup>1</sup>, Duantida Songdej<sup>1</sup>, Ampaiwan Chuansumrit<sup>1</sup>, Somtawin Sirireung<sup>3</sup>, Pimlak Charoenkwan<sup>4</sup>, Arunee Jetsrisuparb<sup>5</sup>, Surapol Issaragrisil<sup>6</sup>, Artit Ungkanont<sup>7</sup>, Rosarin Sruamsiri<sup>8</sup>, Supanart Srisala<sup>9</sup>, Borje S. Andersson<sup>10</sup>, Suradej Hongeng<sup>1,\*</sup>



**18 high risk  
class 3 patients**

**BBMT;2013**

# MAC vs Novel RTC

# MAC Regimens and GVHD Prophylaxis

**Related donor and age < 10 yrs**

**MAC regimen: Cyclo 200 mg/kg, Bu 14-16 mg/kg PO/IV  
CSA + MTX**

**Unrelated group and < 10 yrs**

**MAC regimen: Cyclo 200 mg/kg, Bu 14-16 mg/kg PO/IV,  
Flu 210 mg/m<sup>2</sup> and ATG (Fresenius) 40 mg/kg  
FK506 + MTX**



# HLA Matching

**Related: 6 allele matching**

**Unrelated: (before 2006) 6 allele matching**  
**(current) 8 allele matching**

# Study Population

120 thalassemia patients undergoing HSCT; 1989 – mid 2014

(Current number 135 pts)

Exclude cord blood transplant n = 7

Exclude previous RTC transplant n = 8

(Am J Hematol; 2007)

Exclude haploidentical transplant n = 7

Final number of patients n = 98 patients

Related n = 65; Unrelated n = 33

MAC n = 76; Novel RTC n = 22

# Patient Characteristics

## MAC

Related n= 50 , Unrelated n=26 (34%)

Mismatched HLA (1 Ag or 1 Allele) 12/76 (15%)

## Novel RTC

Related n=15 , Unrelated n=7 (32%)

Mismatched HLA (1 Ag or 1 Allele) 5/22 (22%)

All received BM or PBSC.

# Novel RTC Group

22 patients

2 out of 22 had second HSCT and 1 had third HSCT

Age; median = 16 (10-21) y/o

Male 8; Female 14

Splenectomy = 7 (Referral hospital)

All patients had liver > 5 cm below costal margin.

Ferritin level; median = 3100 (869-8350) ng/mL

Comorbidities

2 DM, 1 previous extramedullary hemopoiesis,

1 previous history of PHT

# Novel RTC Group

21 patients received PBSC.

1 patient received BM.

Median CD34+ 9.4 (4.67-19.26)  $\times 10^6$  cells/kg

MRD 13 MMRD 2 (DRB1)

MUD 4 MMUD 2 (C) MMUD 1 (A)

# MAC vs RTC



Biology of Blood and  
Marrow Transplantation

journal homepage: [www.bbmt.org](http://www.bbmt.org)



## Outcomes of Thalassemia Patients Undergoing Hematopoietic Stem Cell Transplantation by Using a Standard Myeloablative versus a Novel Reduced-Toxicity Conditioning Regimen According to a New Risk Stratification

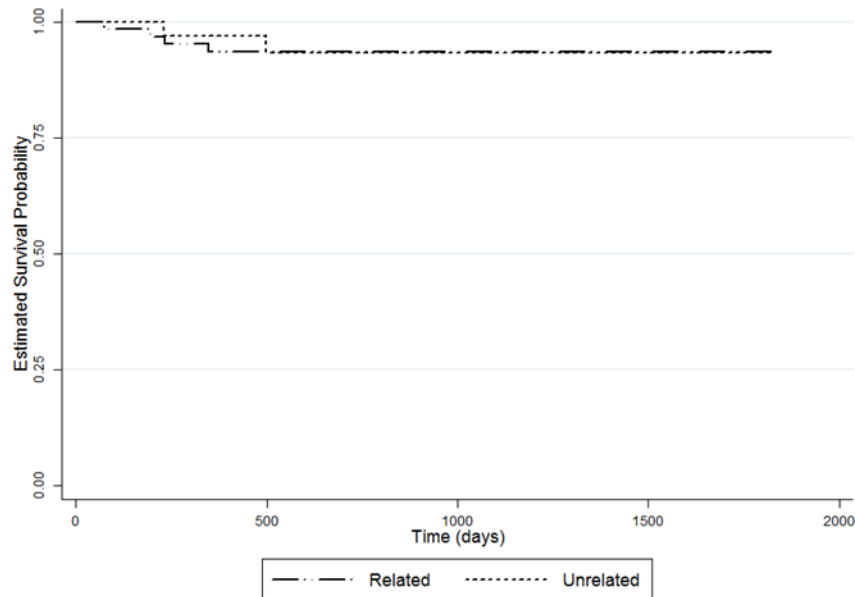
Usanarat Anurathapan<sup>1</sup>, Samart Pakakasama<sup>1</sup>, Pimsiri Mekjaruskul<sup>1</sup>, Nongnuch Sirachainan<sup>1</sup>, Duantida Songdej<sup>1</sup>, Ampaiwan Chuansumrit<sup>1</sup>, Pimlak Charoenkwan<sup>2</sup>, Arunee Jetsrisuparb<sup>3</sup>, Kleebsabai Sanpakit<sup>4</sup>, Bunchoo Pongtanakul<sup>4</sup>, Piya Rujkijyanont<sup>5</sup>, Arunotai Meekaewkunchorn<sup>6</sup>, Rosarin Sruamsiri<sup>7</sup>, Artit Ungkanont<sup>8</sup>, Surapol Issaragrisil<sup>9</sup>, Borje S. Andersson<sup>10</sup>, Suradej Hongeng<sup>1,\*</sup>

# Outcome of All 98 Patients

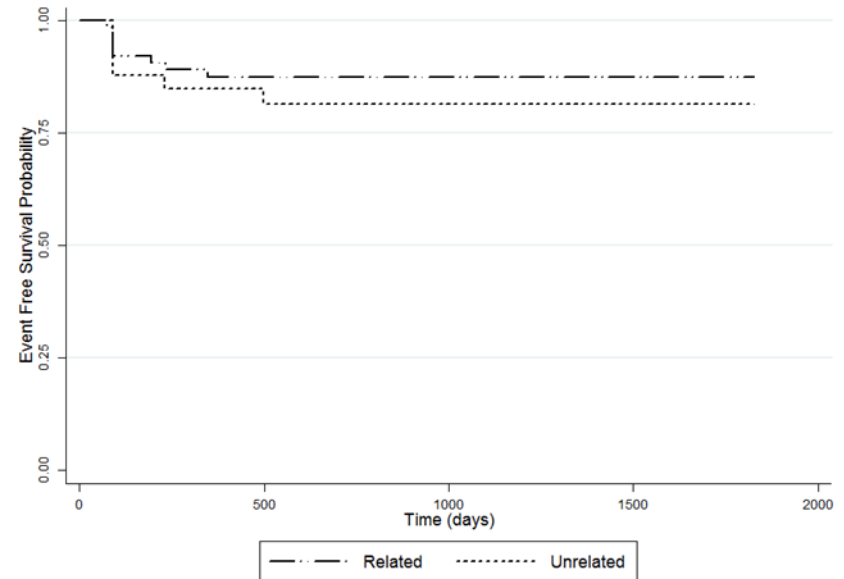
**Overall survival = 94% (95%CI; 86.3%-97.1%)**  
**Event free survival = 87% (95%CI; 76.6%-91.1%)**

# Survival Rates Related (n=65) and Unrelated (n=33) HSCT in Thalassemias

**OS Related vs Unrelated = 94%**



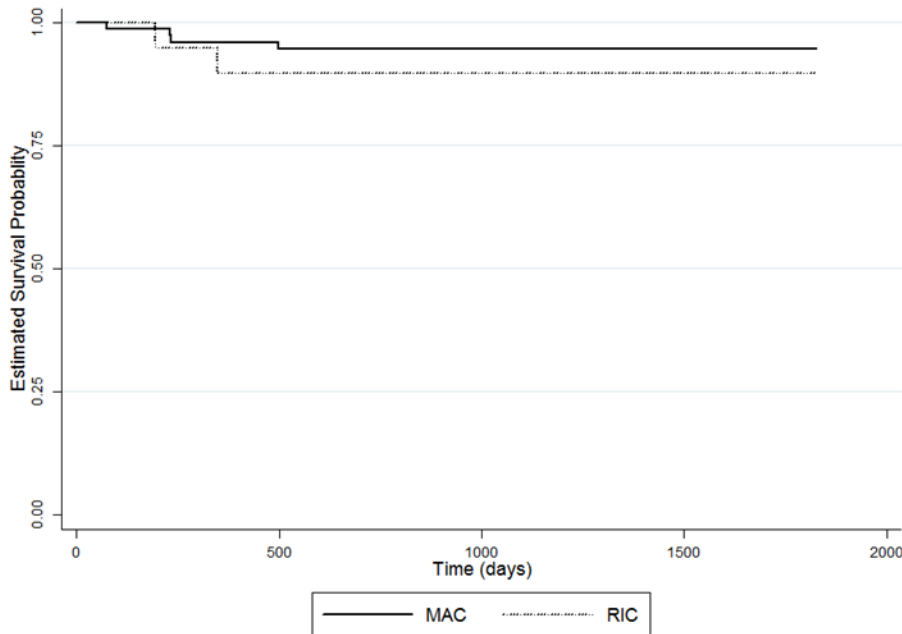
**EFS Related = 88%**  
**EFS Unrelated = 82%**



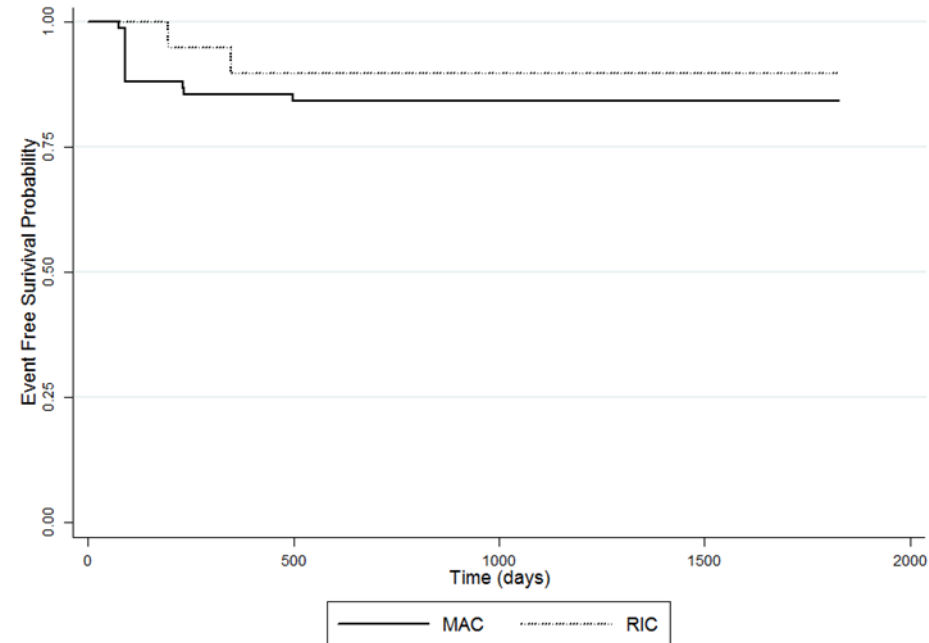


# MAC (n = 76) vs Novel RTC (n= 22)

**OS**      **MAC = 95%**  
             **RTC = 90%**



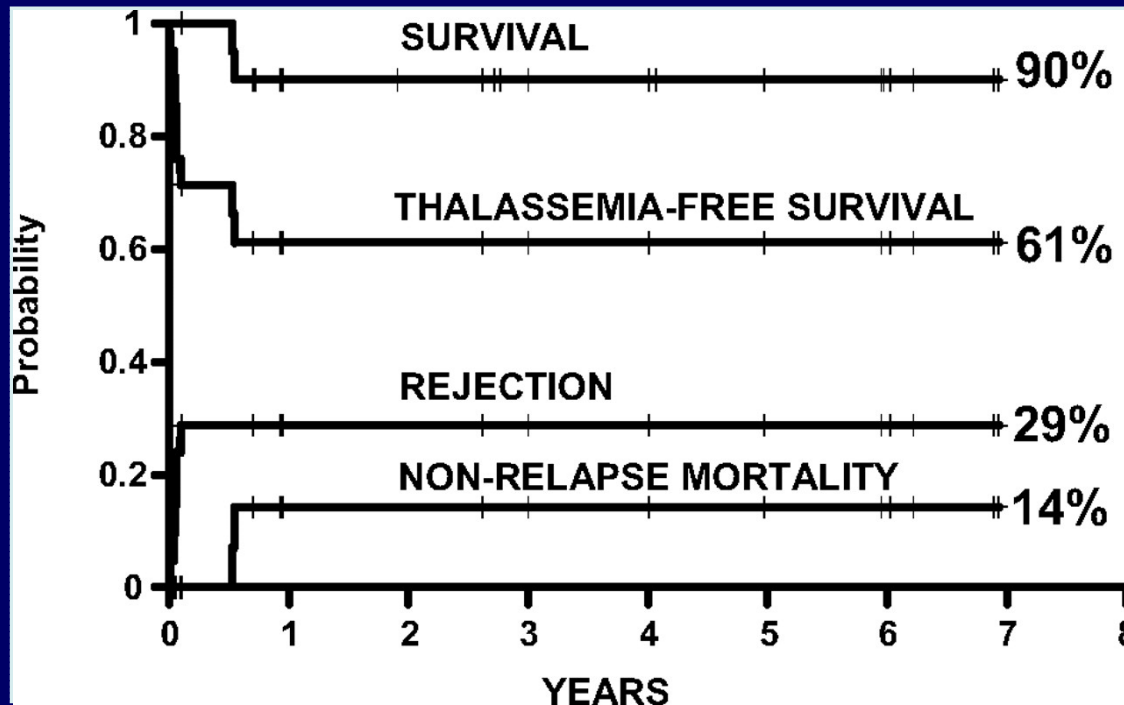
**EFS**      **MAC = 88%**  
             **RTC = 93%**



# MAC vs Novel RTC

|                      | MAC               | RTC                |
|----------------------|-------------------|--------------------|
| Acute GVHD gr III-IV | 3 (4%)            | 2 (10%)            |
| Chronic GVHD         |                   |                    |
| Limited              | 6 (8%)            | 3 (13%)            |
| Extensive            | 2 (3%)            | 0                  |
| Dead                 | 3                 | 2                  |
|                      | 1 Graft failure   | 1 Fungal infection |
|                      | 1 Viral infection | 1 Accident         |
|                      | 1 Secondary AML   |                    |

# Haploidentical HSCT (34+ selection)



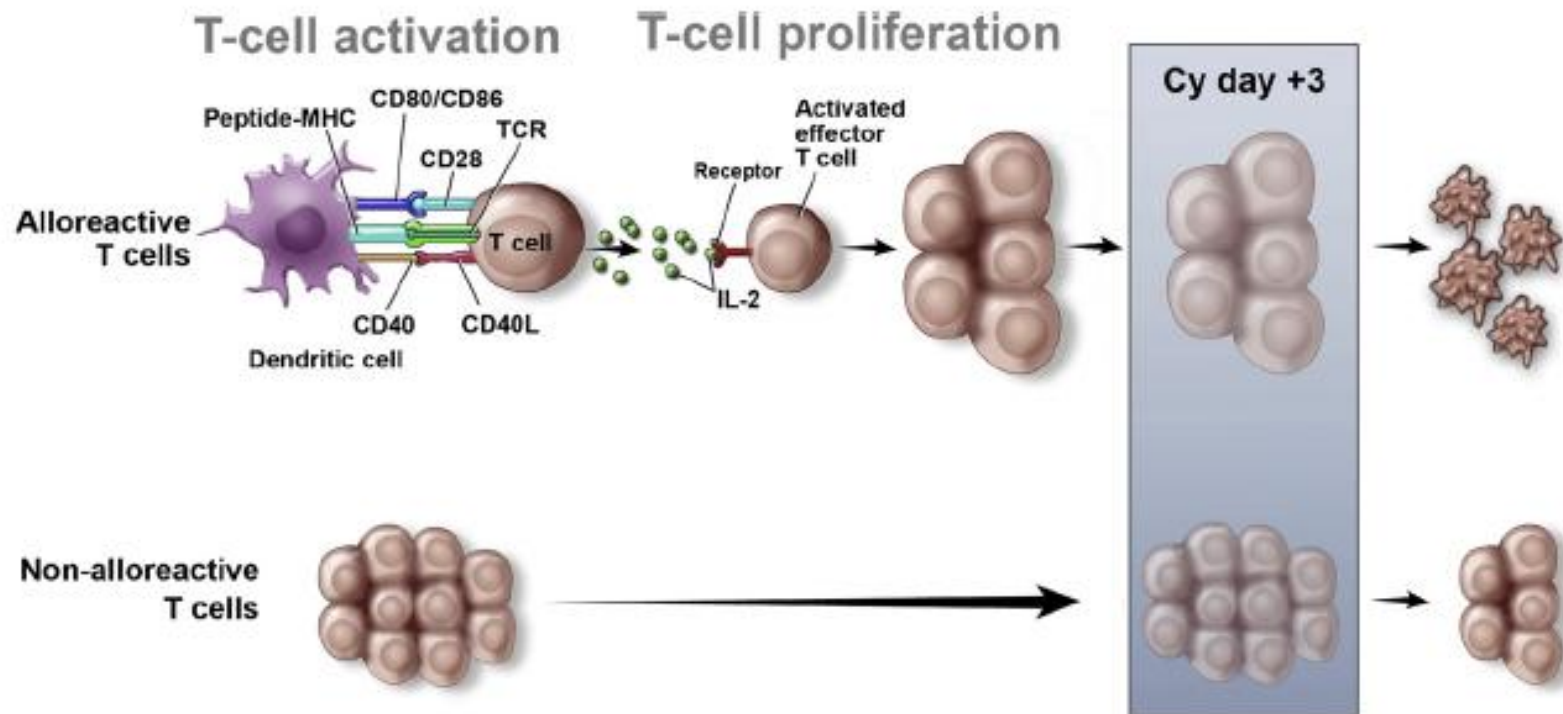
22 pts

Myeloablative  
regimen; Cyclo, Bu,  
Flu, Thiotepa and  
ATG

GVHD prophylaxis  
CSA

Sodani et al, Blood 2010

# Cyclophosphamide post HSC Infusion



**Figure 1.** Activated T cells are relatively more sensitive to the effects of cyclophosphamide compared to resting T cells. Following nonmyeloablative conditioning, both donor and host T cells are exposed to alloantigens, resulting in activation and proliferation of T cells capable of host-versus-graft and graft-versus-host reactions. Expansion of the alloreactive clones occurs in the first 3 days after transplant, at which point cyclophosphamide is given to deplete activated T cells. Hematopoietic stem cells and resting T cells are relatively resistant to cyclophosphamide, which preserves T cells that recognize viral antigens and enhances immune reconstitution.

# All Thalassemia Patients May Be Cured with HSCT?

TFS in related or unrelated for all age group  
(including pts age older than 10 yrs) in our center is 90%.

Haploidentical HSCT in 17 severe thalassemia patients  
(11/17 pts age > 10 yr)

Reduced toxicity conditioning plus cyclophosphamide  
post transplant

Sixteen of 17 pts survived without thalassemia.

1 pt had GvHD gr IV. 2 had graft failure.

Event free survival rate 95%

Follow up time; 4-20 months

# MRD vs MUD vs Haplo-HSCT

## Event free survival rates

MRD (n = 65) = 88%

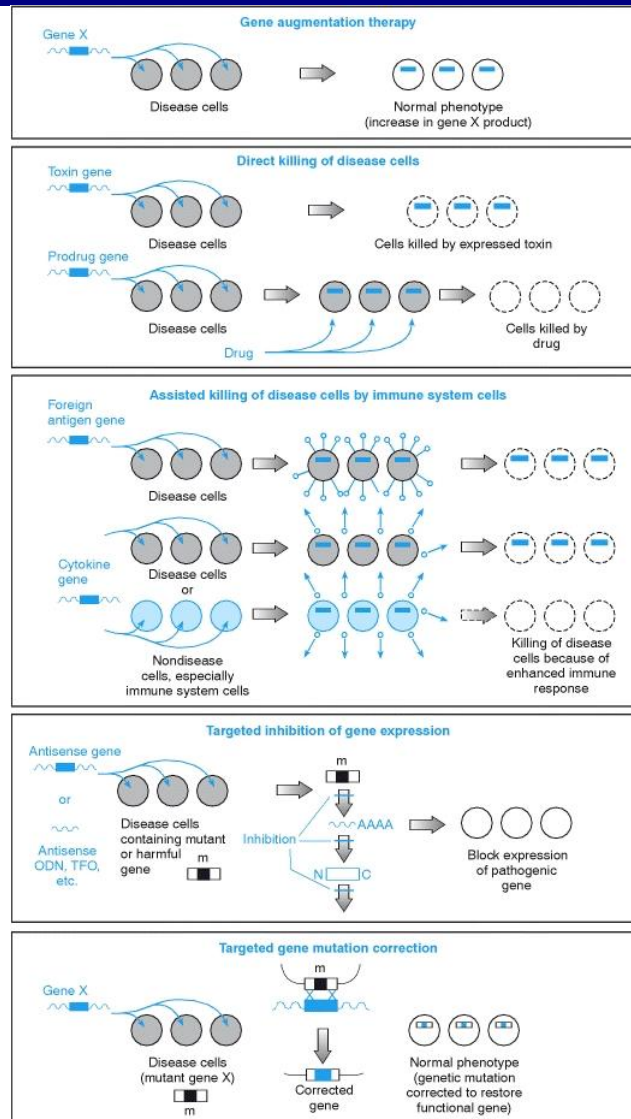
MUD (n = 33) = 82%

Haplo (n = 17) = 95%

p = 0.49

# Gene Therapy

# Methods of Gene Therapy



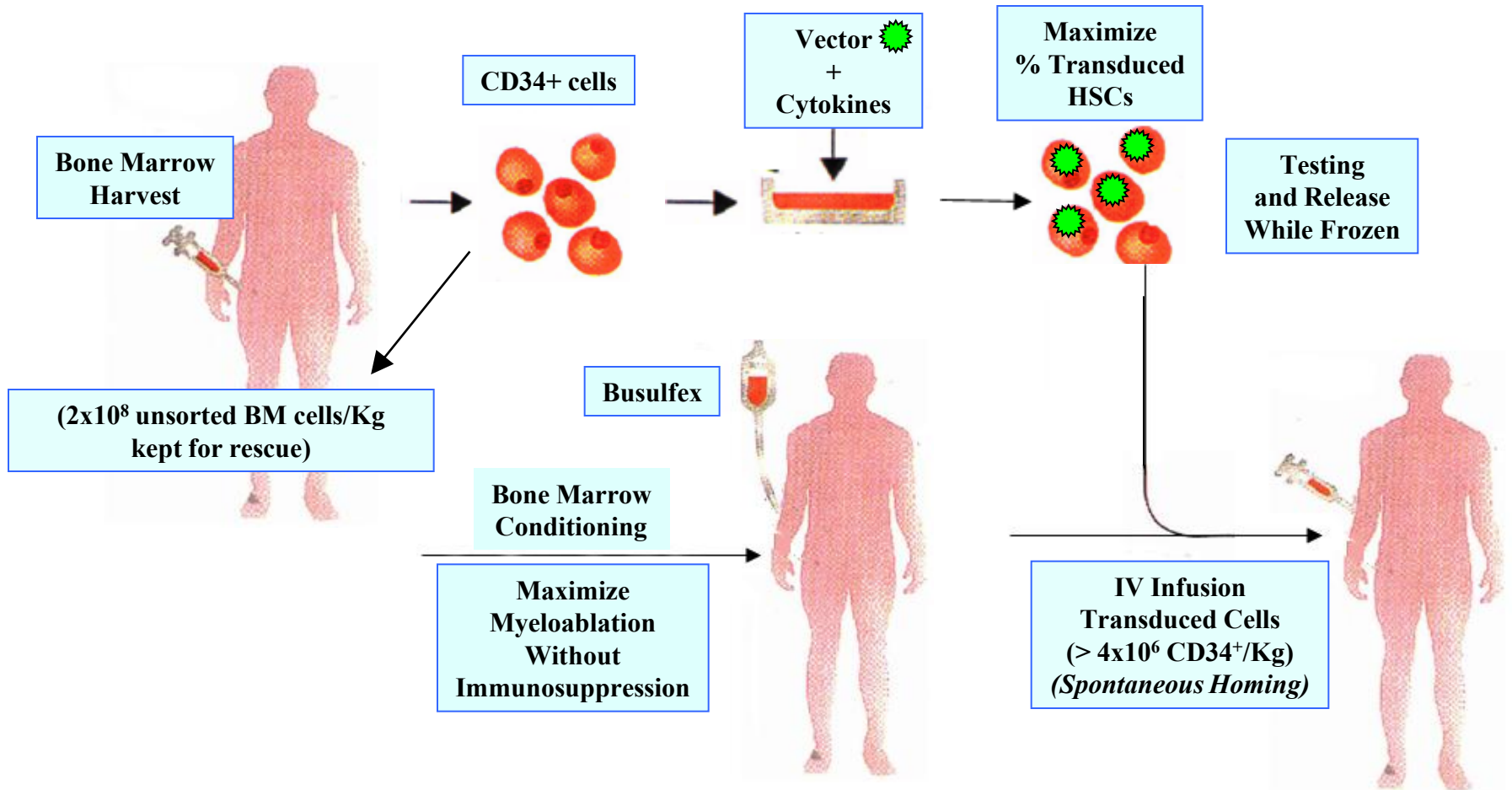


# Transfusion independence and *HMGA2* activation after gene therapy of human $\beta$ -thalassaemia

Marina Cavazzana-Calvo<sup>1,2\*</sup>, Emmanuel Payen<sup>3,4,5\*</sup>, Olivier Negre<sup>3,4,5,6</sup>, Gary Wang<sup>7</sup>, Kathleen Hehir<sup>8</sup>, Floriane Fusil<sup>3,4,5</sup>, Julian Down<sup>8</sup>, Maria Denaro<sup>8</sup>, Troy Brady<sup>7</sup>, Karen Westerman<sup>8,9</sup>, Resy Cavallesco<sup>9</sup>, Beatrix Gillet-Legrand<sup>6</sup>, Laure Caccavelli<sup>1,2</sup>, Riccardo Sgarra<sup>10</sup>, Leila Maouche-Chrétien<sup>3,4</sup>, Françoise Bernaudin<sup>11</sup>, Robert Girot<sup>12</sup>, Ronald Dorazio<sup>8</sup>, Geert-Jan Mulder<sup>8</sup>, Axel Polack<sup>8</sup>, Arthur Bank<sup>13</sup>, Jean Soulier<sup>5</sup>, Jérôme Larghero<sup>5</sup>, Nabil Kabbara<sup>5</sup>, Bruno Dalle<sup>5</sup>, Bernard Gourmel<sup>5</sup>, Gérard Socie<sup>5</sup>, Stany Chrétien<sup>3,4,9</sup>, Nathalie Cartier<sup>14</sup>, Patrick Aubourg<sup>14</sup>, Alain Fischer<sup>1,2</sup>, Kenneth Cornetta<sup>15</sup>, Frédéric Galacteros<sup>16</sup>, Yves Beuzard<sup>3,4,5</sup>, Eliane Gluckman<sup>5</sup>, Frederick Bushman<sup>7</sup>, Salima Hacein-Bey-Abina<sup>1,2\*</sup> & Philippe Leboulch<sup>3,4,9\*</sup>

NATURE | Vol 467 | 16 September 2010

# Overview of the clinical protocol



**Initial results from the Northstar Study (HGB-204):  
A Phase 1/2 Study of Gene Therapy for  
 $\beta$ -Thalassemia Major via Transplantation of  
Autologous Hematopoietic Stem Cells Transduced  
*Ex-Vivo* with a Lentiviral  $\beta^{\text{A-T87Q}}$ -Globin Vector**

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# Subject and Cellular Product Characteristics

| Subject Number | Age | Sex | Genotype          | Birth Country | Splenectomy | Transfusion Requirement <sup>a</sup> | VCN in Cellular Product <sup>b</sup> | CD34 <sup>+</sup> Cell Dose (x 10 <sup>6</sup> /kg) |
|----------------|-----|-----|-------------------|---------------|-------------|--------------------------------------|--------------------------------------|---|
| 1102           | 18  | F   | $\beta^0/\beta^E$ | USA           | Yes         | 137                                  | 1.0/1.1                              | 6.5   |
| 1104           | 21  | F   | $\beta^0/\beta^E$ | Thailand      | No          | 153                                  | 0.7/0.7                              | 5.4   |
| 1106           | 20  | F   | $\beta^0/\beta^0$ | Pakistan      | No          | 197                                  | 1.5                                  | 13.5  |
| 1107           | 26  | F   | $\beta^0/\beta^0$ | Australia     | No          | 223                                  | 1.0                                  | 15.0  |
| 1108           | 18  | F   | $\beta^0/\beta^+$ | USA           | Yes         | 144                                  | 0.9                                  | 7.9   |
| 1109           | 29  | M   | $\beta^0/A^c$     | USA           | Yes         | 158                                  | 0.6/0.6                              | 10.1  |
| 1110           | 33  | F   | $\beta^0/\beta^0$ | USA           | Yes         | 172                                  | 0.7                                  | 6.3   |

<sup>a</sup> mean pRBC requirement in cc/kg/year, over the 2 years prior to consent

<sup>b</sup> VCN= mean number of vector copies per CD34<sup>+</sup> cell

<sup>c</sup> Subject 1109 has alpha gene triplication, resulting in an autosomal dominant beta thalassemia phenotype.

# Clinical Safety for Infused Subjects

|   | Subject 1102   | Subject 1106  | Subject 1104  | Subject 1107   |
|---|--|---|---|--|
| Follow up period  | 6 months   | 3 months  | 2 months  | 1 month  |
| Neutrophil engraftment<br>ANC > 500/ $\mu$ L                            | Day +17  | Day +29   | Day +18   | Day +14  |
| Platelet engraftment<br>Unsupported platelet count<br>> 20,000/ $\mu$ L | Day +28  | Day +30   | Day +31   | Day +27  |
| Non-laboratory<br>$\geq$ Grade 3 AEs                                    | <ul style="list-style-type: none"> <li>• Mucositis</li> <li>• Bacteremia</li> <li>• Febrile neutropenia</li> </ul> | <ul style="list-style-type: none"> <li>• Mucositis</li> <li>• Epistaxis</li> <li>• Febrile neutropenia</li> </ul> | <ul style="list-style-type: none"> <li>• Mucositis</li> </ul> | <ul style="list-style-type: none"> <li>• Mucositis</li> <li>• Infection</li> <li>• Headache</li> </ul> |
| SAEs post-infusion  | None   | None  | Catheter Thrombosis   | None   |

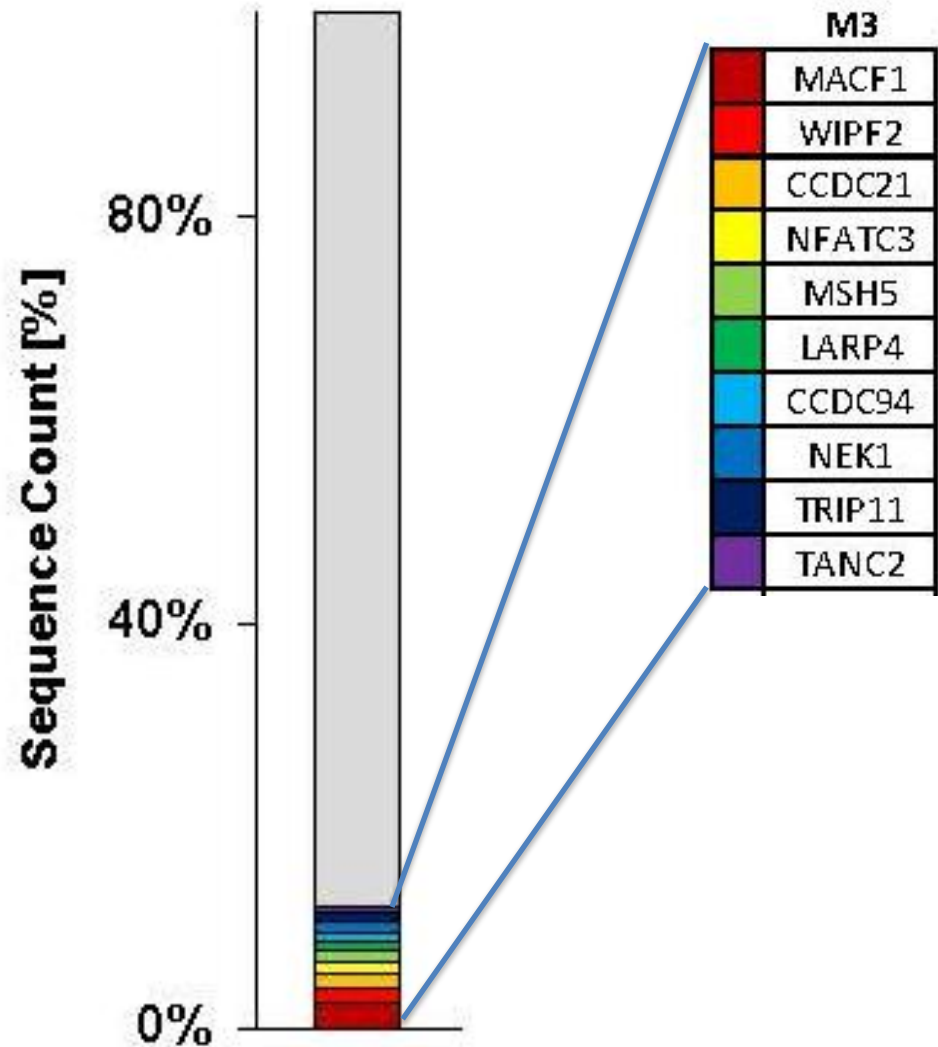
As of 21 November 2014

Subject 1108 was infused 06Nov2014 and no data is available

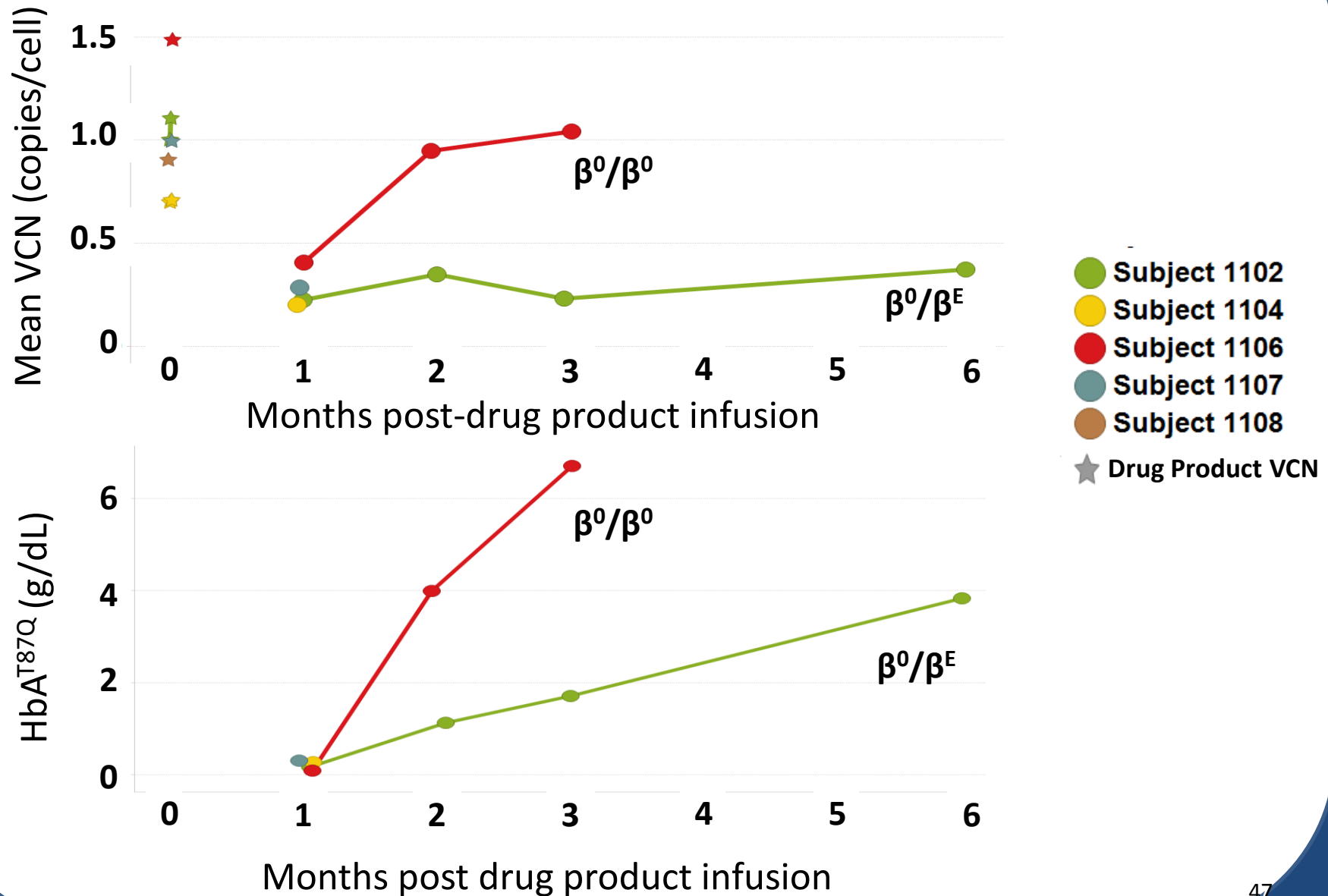
- **All AEs consistent with myeloablative conditioning**
- **No  $\geq$ Grade 3 AEs related to drug product, no RCL at 3 and 6 months**

# Integration Site Analysis: Subject 1102 at Month 3

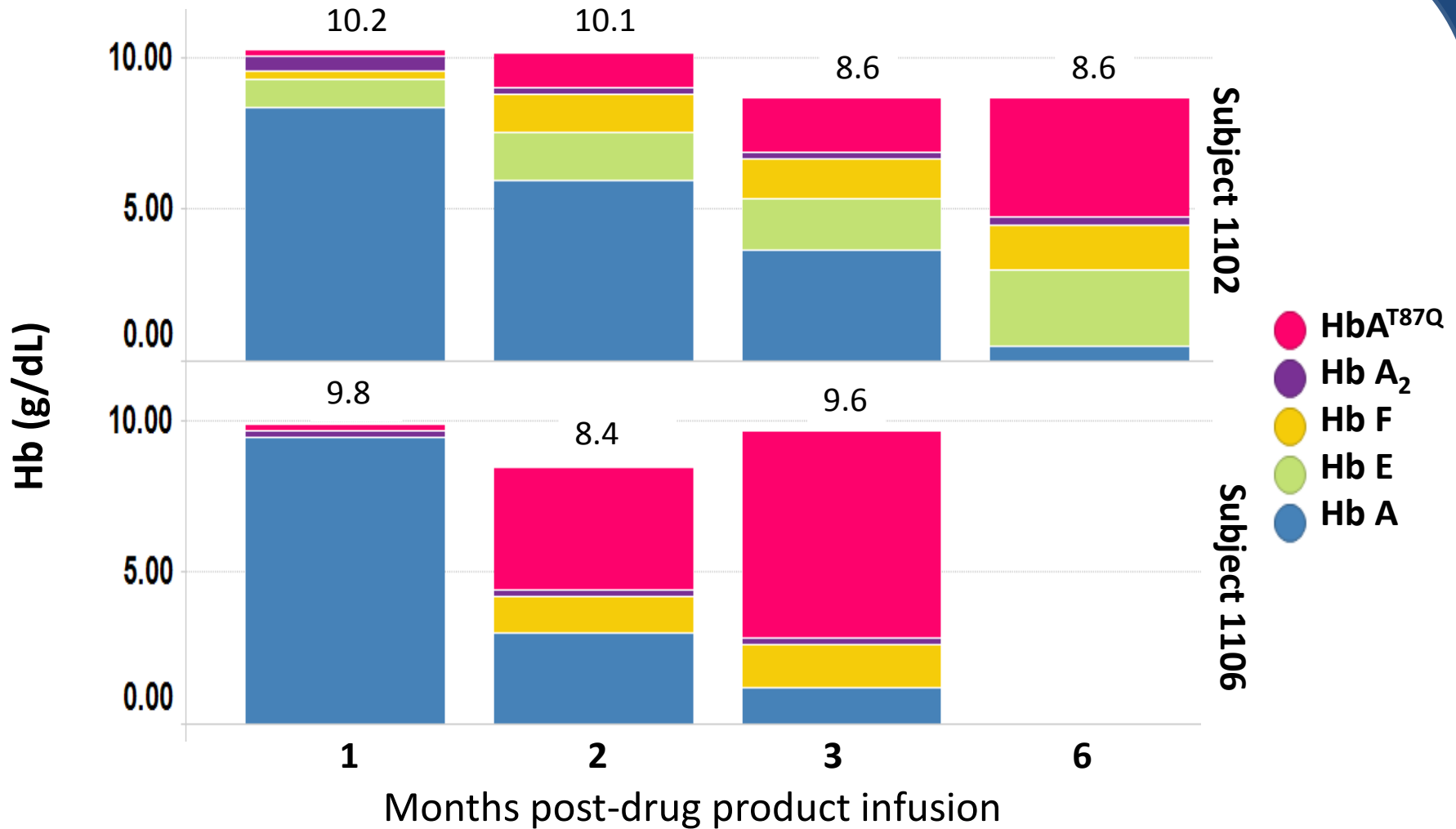
- 2393 unique gene-marked clones identified
- No clonal dominance observed
- Top 10 clones combined represent 12.4% of all clones detected
- Early polyclonal repopulation



# VCN and HbA<sup>T87Q</sup> in Peripheral Blood



# Hemoglobin Fractions in Whole Blood



- Subject 1102 ( $\beta^0/\beta^E$ ) producing **3.8 g/dL HbA<sup>T87Q</sup>** at 6 Months
- Subject 1106 ( $\beta^0/\beta^0$ ) producing **6.8 g/dL HbA<sup>T87Q</sup>** at 3 Months



# Conclusion

**Outcomes of HSCT for thal in both MRD and MUD are favorable.**

**Outcomes of HSCT for all age group among thal pts are also favorable.**

**Whether the novel RTC regimen should be studied in younger thalassemia patients is needed to be investigated.**

**Autologous HSC with gene addition may give a new hope for cure for thal patients.**

# Acknowledgement

**Samart Pakakasama**  
**Ampaiwan Chuansumrit**  
**Nongnuch Sirachainan**  
**Usanarat Anurathapan**  
**Duantida Songdej**

**HLA lab and BMT nurses**

**Somtawain Sirirueng**  
**Wanpen Pantangkool**

**Saengsuree Jootar**  
**Artit Ungkanont**

**Vinai Suvattee**  
**Suthat Fucharoen**  
**Surapol Issaragrisil**

**Borje Andersson**  
**Pramongkutklao Hospital**  
**Srinakarind Hospital**  
**Suandok Hospital**  
**Songklanakarin Hospital**  
**Siriraj Hospital**

# **Acknowledgement**

**Ramathibodi Foundation**

**Children Cancer Fund under The  
Patronage of HRH Princess Som Sawali**